



SCHNEIDER DOWNS

Big Thinking. Personal Focus.

FINANCIAL SERVICES INDUSTRY

We partner with financial institutions to provide a comprehensive range of risk advisory services, delivered through a unified team with deep industry insight and practical experience. Schneider Downs combines financial services experience with internal audit, risk, cyber, technology and advisory capabilities to help organizations manage risk and achieve their strategic objectives with confidence.

The Value We Deliver



30-50%

Lower staffing cost



18%

Less control-owner time



60 Day

Audit cycle



20%

Fewer findings per year

The Services We Provide

Internal Audit: We partner with financial institutions to provide a comprehensive range of internal audit and risk advisory services, including operational, regulatory compliance, IT audit and SOX 404 and FDICIA support. Delivered through outsourcing, co-sourcing or staff augmentation models, our services help organizations navigate risk, strengthen performance and drive sustainable value.

Regulatory Compliance Advisory: We support the second line in evaluating and reinforcing how compliance requirements are executed in the first line. We focus on whether controls are clearly communicated, properly implemented and operating consistently across business units.

Enterprise Risk Management: We work alongside your CRO, CEO and board to design an ERM framework that fits your institution's size and risk profile.

Fraud Risk Programs: We support BSA officers and fraud risk managers with independent assessments, program design and remediation.

Cybersecurity & IT Risk: We offer network penetration assessments, network vulnerability assessments, web application security testing, IT security maturity assessments and more.

Third-Party Risk Management: We help strengthen TPRM programs by evaluating how risk oversight is established in the second line and executed consistently within the first line.

Certifications Across Our Financial Services Team

» CPA

» CIA

» CFSA

» CISA

» CFE

» CISSP

» CEH

» MCFE

» OSP

Ready to Get Started?

For more information, visit schneiderdowns.com/financial-services.

“Working with Schneider Downs has been an excellent experience. Their team is highly responsive, approachable, and able to address even the most technical questions with confidence and expertise. They also understand the unique challenges facing institutions of different sizes and tailor their guidance accordingly, recognizing that expectations should be commensurate with an institution’s size, complexity, and risk.

- Chief Risk Officer at Main Street Bank

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www.schneiderdowns.com

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