

SOX Solutions

Schneider Downs delivers a comprehensive suite of SOX solutions tailored to your company's specific needs.

Whether you need a one-time assessment of controls or ongoing support, we adapt to your requirements and deliver tailored SOX services specific to your organization. Our teams have extensive experience collaborating with companies to ensure an effective SOX program.

SOX Controls Optimization

We take a focused approach to optimizing your SOX program, including strategy, structure and controls. Our program includes assessing the scope, risks, testing strategies and existing documentation—including key controls, risk control matrices, process narratives and prior testing documentation—to ensure peak effectiveness and efficiency. By evaluating these factors, we help enhance the overall performance of your SOX controls and program.

SOX Readiness Services

Schneider Downs helps companies implement and support their SOX programs, emphasizing scoping, documentation and identification of key controls. Whether you're pre-IPO or an SRC moving to an accelerated filer, we'll guide you in developing the right strategy structure to ensure an effective program and design of your SOX program.

SOX Services for Public Companies

Our team of experienced professionals supports execution of existing SOX programs. We provide an entire suite of SOX services, including scoping, walkthroughs to assess control design, detailed testing to assess control effectiveness, issue tracking and remediation, and coordination with necessary stakeholders, including management and external auditors. Our team consists of business process and IT professionals to provide comprehensive SOX solutions.

SOX Services for Smaller Reporting Companies (SRC)

Schneider Downs assists SRCs not subject to the SOX 404(b) auditor attestation requirement in achieving management's attestation for SOX compliance, and where necessary, external auditor, expectations. Our experienced team collaborates with companies to design and execute a cost-effective approach for management's attestation of effective internal controls over financial reporting.

Our SOX Approach and Methodology

Our proven SOX methodology follows a disciplined approach aligned with industry-leading practices, ensuring consistent execution and high-quality results for both business processes and IT.



Our methodology focuses on continuous improvement through training, awareness, thought leadership and regular communication to keep stakeholders informed. Aligned with SEC and PCAOB standards, as well as the COSO framework, it enhances the efficiency and effectiveness of Sarbanes-Oxley programs. This ensures organizations can identify significant risks of material misstatement and implement the necessary processes and controls to mitigate those risks.

How Can Schneider Downs Help?

Schneider Downs' IT, financial and operational audit professionals stay updated on SOX guidance and legislation, bringing experience across diverse domestic and international industries. We are proficient in various processes, applications, platforms and databases, including HR, Accounts Payable, Accounts Receivable, Inventory, SAP, Oracle ERP and JDE.

Contact the team at contactsd@schneiderdowns.com or learn more at www.schneiderdowns.com/sox.

About Schneider Downs Risk Advisory

The Schneider Downs Risk Advisory team collaborates closely with your organization to identify and effectively mitigate risks. Our objective is not only to address risks associated with potential losses but also to implement solutions that add value and ensure minimal disruption to your business.



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